# JC03 Rec'd PCT/PTO 1 0 JUN 2009

PATENT--NO FEE

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

ANDREAS POPP ET AL.

Serial No.: 10/517,042

Filed: December 3, 2004

For: (METH) ACRYLIC ESTERS OF POLYALKOXYLATED TRIMETHYLOLPROPANE

Attorney Docket No. 29827/40695

Group Art Unit: 1714

Examiner: Unassigned

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage, as first class mail, in an envelope addressed to:
Mail Stop PCT
Commissioner for Patents P.O. Box 1450
Alexandria, VA 22313-1450

Dated: June 8, 2005

James J. Napoli

Registration No. 32,361 Attorney for Applicants

## TRANSMITTAL OF ENGLISH-LANGUAGE TRANSLATION OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby submit an English-language translation of the International Preliminary Examiner Report issued in connection with PCT/EP2003/005953, from which applicants claim priority.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP

D--

James J. Napoli

(Registration No. 32,361)
Attorneys for Applicants
6300 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606
(312) 474-6300

Chicago, Illinois June 8, 2005

From the INTERNATIONAL BUREAU

### PCT

NOTIFICATION OF TRANSMITTAL OF COPIES OF TRANSLATION OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 72.2)

BASF AKTIENGESELLSCHAFT Co. 756 67056 Ludwigshafen ALLEMAGNE

Phase been det 12,11.04

17 February 2005 (17.02.2005)

Applicant's or agent's file reference 0000054429

Date of mailing (day/month/year)

International application No. PCT/EP2003/005953 /

IMPORTANT NOTIFICATION

International filing date (day/month/year) 06 June 2003 (06.06.2003) •

Applicant

BASF AKTIENGESELLSCHAFT et al

### 1. Transmittal of the translation to the applicant.

The International Bureau transmits herewith a copy of the English translation made by the International Bureau of the international preliminary examination report established by the International Preliminary Examining Authority.

#### 2. Transmittal of the copy of the translation to the elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following elected Offices requiring such translation:

AZ, CA, CH, CN, CO, GH, KG, KP, KR, MK, MZ, RU, TM

The following elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

AE, AG, AL, AM, AP, AT, AU, BA, BB, BG, BR, BY, BZ, CR, CU, CZ, DE, DK, DM, DZ, EA, EC, EE, EP, ES, FI, GB, GD, GE, GM, HR, HU, ID, IL, IN, IS, JP, KE, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MN, MW, MX, NI, NO, NZ, OA, OM, PH, PL, PT, RO, SC, SD, SE, SG, SK, SL, TJ, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW

### 3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report.

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Agnes Wittmann-Regis

Facsimile No.+41 22 740 14 35

Facsimile No.+41 22 338 89 70

# Translation

### PATENT COOPERATION TREATY



## **PCT**

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 0000054429	FOR FURTHER ACTION	URTHER ACTION See Notification of Transmittal of Interna Preliminary Examination Report (Form PCT/IPEA					
International application No. PCT/EP2003/005953	International filing date (day)		Priority date (day/month/year)				
PC1/EP2003/005953 06 June 2003 (06.06.2003) 11 June 2002 (11.06.2002)  International Patent Classification (IPC) or national classification and IPC C08G 65/332							
Applicant BASF AKTIENGESELLSCHAFT							
<ol> <li>This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</li> </ol>							
2. This REPORT consists of a total of 4 sheets, including this cover sheet.							
This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).							
These annexes consist of a total of sheets.							
3. This report contains indications relating to the following items:							
I Basis of the report							
II Priority	II Priority						
III Non-establishment of	III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability						
IV Lack of unity of inver							
V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;							
VI Certain documents cited							
VII Certain defects in the	international application		·				
VIII Certain observations on the international application							
Date of submission of the demand	Date of	Date of completion of this report					
02 December 2003 (02.12.2003)		27 October 2004 (27.10.2004)					
Name and mailing address of the IPEA/EP		Authorized officer					
Facsimile No.		Telephone No.					

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/EP2003/005953

	I. Basis of the report								
ſ	1. Wi	th regard to the elements of the international application:*							
ı		the international application as originally filed							
	区	the description:							
1		nages							
1		, as originary free							
		pages, filed with the deman							
ı	$\boxtimes$	the claims:							
		nages							
İ		, as originally filed							
		nages , as amended (together with any statement under Article 19							
		pages, filed with the demand, filed with the demand, filed with the demand, filed with the letter of, filed with the demand, filed with the letter of, filed with the demand, filed with the demand, filed with the demand, filed with the demand, filed with the demand							
	لـــا	the drawings:							
		pages, as originally filed							
ı		, filed with the demand							
		, illed with the letter of							
l		the sequence listing part of the description:							
ı		pages, as originally filed							
		filed with the demand							
		pages, filed with the letter of,							
2	2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.  These elements were available or furnished to this Authority in the following language which is:  the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).								
	H	the language of publication of the international application (under Rule 48.3(b)).							
	the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/ or 55.3).								
3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:									
	H	contained in the international application in written form.							
l	님	filed together with the international application in computer readable form.							
	H	furnished subsequently to this Authority in written form.							
	H	furnished subsequently to this Authority in computer readable form.							
		The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.							
		The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.							
4.		The amendments have resulted in the cancellation of:							
		the description, pages							
		the claims, Nos.							
		the drawings, sheets/fig							
5.		This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**							
*	* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rule 70.16 and 70.17).								
**,	Any re	placement sheet containing such amendments must be referred to under item $I$ and annexed to this report.							
Ear	Form PCT/IPF A/400 (Pay I) (I) I 1000)								

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.
PCT/EP 03/05953

V.	Reasoned statement under Article 3 citations and explanations supporting	5(2) with regard to no ng such statement	ovelty, inventive step or industrial applical	oility;
1.	Statement .			
	Novelty (N)	Claims	1-26	YES
		Claims		NO
	Inventive step (IS)	Claims	1-26	YES
		Claims		NO
	Industrial applicability (IA)	Claims	1-26	YES
		Claims		NO

2. Citations and explanations

Reference is made to the following document:

D1: US-A-5 506 324.

- 1.0 Document D1 is considered to be the closest prior art for the subject matter of the current claims.
- 2.0 The subject matter of the current claims is novel and inventive (PCT Article 33(2) and (3)). Neither the compounds nor the methods as defined in the current claims are disclosed or suggested by the cited documents.